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PATENT

Citizenship
USA
USA
USA

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of inventors:

	First Name	Last Name	Residence
1.	Saul	TZIPORI	Shrewsbury, MA
2.	Ramaswamy	BALAKRISHNAN	Framingham, MA
3.	Arthur	DONOHUE-ROLFE	Sudbury, MA

For (title):

HUMAN NEUTRALIZING ANTIBODIES AGAINST HEMOLYTIC UREMIC
SYNDROME

1. Type of Application

- ☒ Utility
☐ Design
☐ Plant

☐ Original
☐ Divisional
☐ Continuation
☒ Continuation-in-part

2. Benefit of Prior US Application(s) (35 USC 120) or foreign priority (35 USC 119)

- ☒ The new application being transmitted claims the benefit of a prior US application. See ¶17--20 below.
☐ Foreign priority claimed (see ¶9)

3. Papers Enclosed Required For Filing Date Under 37 CFR 1.53(b) (Uti.) or 37 CFR 1.153 (Des.)

- ☒ Pages of specification (60)
☒ Pages of claims (6)
☒ Pages of Abstract (1)
☒ Sheets of Drawing (5)
☒ Formal
☐ Informal

4. Additional papers enclosed

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☒ PTO-1449
- ☒ Citations (4)
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing," computer-readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino-acid sequence
- ☐ Authorization of Attorney(s) to accept and follow instructions from Representative
- ☒ Other -- PTO Data Entry Form (2 pgs.)
- ☐ Other

5. Declaration or Oath

- ☐ Enclosed
original executed by
 - ☐ Inventor(s)
 - ☐ legal representative of inventor(s). 37 CFR 1.42 or 1.43
 - ☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or could not be reached
 - ☐ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached
- ☒ Not Enclosed
 - ☒ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all the above-named inventor(s).
 - ☐ Showing that the filing is authorized.

6. Inventorship Statement

The inventorship for all the claims in this application is:

- ☒ The same
- ☐ Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made
 - ☐ is submitted.
 - ☐ will be submitted.

7. Language

- ☒ English
- ☐ non-English
- ☐ the attached translation is a verified translation. 37 CFR 1.52(d).

8. Assignment

- ✓ ☒ An assignment of the invention to TRUSTEES OF TUFTS COLLEGE
☐ is attached.
☒ will follow.

9. Certified Copy

Certified copy of application

Country

Number

Date

from which priority is claimed

- ☐ is(are) attached.
☐ will follow.

10. Fee calculation☒ **A. Utility application**

CLAIMS AS FILED

	No. Filed	Base No.	No. Extra	Rate	Basic fee
					\$740.00
Total claims	25	20	5	\$18.00	\$90.00
Independent claims	3	3	0	\$84.00	\$0.00
Mult. dep. claims	No	n/a	n/a	\$280.00	\$0.00

- ☐ Amendment canceling extra claims enclosed.
☐ Amendment canceling multiply dependent claims enclosed.
☐ Fee for extra claims is not being paid at this time.

Filing Fee Calculation

\$830.00☐ **B. Design application**

(\$0.00--37 CFR 1.16(f))

Filing fee calculation

\$00.00

- ☐ **C. Plant application**
 (\$490.00--37 CFR 1.16(g))
 Filing fee calculation \$00.00

11. Entity StatusApplicant herewith qualifies as a **SMALL** entity.Filing fee calculation (50% of A, B, or C above) **\$415.00****12. Request for International-Type Search (37 CFR 1.104(d))**

- ☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

13. Fee Payment

- ☒ Not enclosed.
☒ No filing fee is to be paid at this time.
☐ Enclosed.
☐ basic filing fee \$0.00
☐ petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or could not be reached \$0.00
☐ for processing an application with a specification in a non-English language \$0.00
☐ processing and retention fee \$0.00
☐ fee for international-type search report \$0.00
Total fees enclosed \$0.00

14. Method of Payment of Fees

- ☐ Check in the amount of \$0.00
☐ Charge Account 18-2025 for \$0.00. A duplicate of this form is attached.
☐ Charge to credit card (PTO-2038 attached.)

40041955-010702

15. Authorization to Charge Additional Fees

- ☐ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to account 18-2025.
- ☐ 37 CFR 1.16(a), (f), or (g) (filing fees)
 - ☐ 37 CFR 1.16(b), (c), or (d) (extra claims)
 - ☐ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
 - ☐ 37 CFR 1.17 (application processing fees)
 - ☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance pursuant to 37 CFR 1.31(b))

16. Instructions As To Overpayment

- ☐ credit account 18-2025
- ☐ refund

17. Relate Back—35 USC 120

This is a continuation-in-part of copending application 09/302125 filed 29 April 1999.

18. Relate Back—35 USC 119 Priority Claim for Prior Application

The prior US application(s) identified above in ¶17 in turn claim(s) the following priority(ies):

Country	Number	Date
None	None	None

The certified copy(ies) has (have)

- ☐ been filed on _____. in the above-cited prior application 09/302125.
- ☐ is(are) attached

19. Maintenance of Copendency of Prior Application

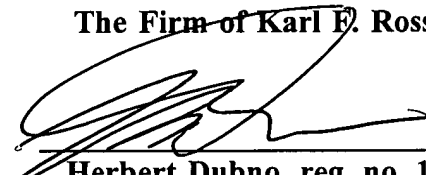
- ☐ Extension of time in prior application
- ☐ An extension request and fee extend the term in the prior application to .
- ☐ A copy of the extension request for the prior application is attached

20. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

- ☒ The inventors for this application and for above-cited prior application 09/302125 are the same.
- ☐ This application claims, in addition to inventors named in above-cited prior application 09/302125 the following additional inventors:
n/a
- ☐ The following inventors named in above-cited prior application 09/302125 are not claimed in this application:
n/a
- ☐ Submitted herewith is an explanation detailing the changed inventorship.

The Firm of Karl E. Ross P.C.

7 January 2002


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